

**ROBB EVANS**  
**Receiver of**  
**Assets of James P. Lewis, Jr.,**  
**Financial Advisory Consultants,**  
**Income Fund Ltd. &**  
**Growth Fund Ltd.**

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**Securities and Exchange Commission v. James P. Lewis, Jr., et al.**  
**CASE No. CV 03-9354 ABC (VBKx)**

**Order Granting Motion for Approval of Receiver's Settlements with  
Settling Parties**

**Filed July 14, 2008**

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**ROBB EVANS**

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9 **UNITED STATES DISTRICT COURT**  
10 **CENTRAL DISTRICT OF CALIFORNIA, WESTERN DIVISION**

11 SECURITIES AND EXCHANGE  
12 COMMISSION,

13 Plaintiff,

14 v.

15 JAMES P. LEWIS, JR., individually  
and doing business as FINANCIAL  
16 ADVISORY CONSULTANTS,  
INCOME FUND, LTD. and GROWTH  
17 FUND, LTD.,

18 Defendants.

CASE NO. CV 03-9354 ABC (VBKx)

**ORDER GRANTING MOTION FOR  
APPROVAL OF RECEIVER'S  
SETTLEMENTS WITH SETTLING  
PARTIES**

DATE: June 23, 2008  
TIME: 10:00 a.m.  
PLACE: Courtroom 680

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20 The matter of the Motion for Approval of Receiver's Settlements with  
21 Settling Parties ("Motion") filed by Robb Evans as Receiver over the assets of  
22 James P. Lewis, Jr., individually and doing business as Financial Advisory  
23 Consultants, Income Fund, Ltd. and Growth Fund, Ltd. ("Receiver"), came on  
24 regularly for hearing at the above-referenced date, time and place before the  
25 Honorable Audrey B. Collins, United States District Judge presiding. Angela E.  
26 Fones of McKenna Long & Aldridge LLP appeared on behalf of the Receiver and  
27 Peter Del Greco appeared on behalf of the Securities and Exchange Commission.  
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1 There were no other appearances despite due and proper notice. The Court having  
2 read and considered the Motion and all supporting papers, having considered the  
3 arguments and contentions of counsel, no opposition to the Motion having been  
4 served or filed, and good cause appearing therefor,

5 IT IS ORDERED that:

6 1. The Motion is granted in its entirety;

7 2. Without limiting the generality of the foregoing, the Court hereby  
8 approves the Receiver's proposed settlements identified in Exhibit 1 attached  
9 hereto;

10 3. The Settlement Payments made or to be made by the Settling Parties as  
11 described in Exhibit 1 hereto shall be deemed to be made in full and final  
12 satisfaction of all claims, demands, actions and causes of action that could have  
13 been asserted by any party for recovery of excess profits or other claims for  
14 recovery against the Settling Parties arising out of or pertaining to their investment  
15 in the Lewis fraudulent investment scheme and that such claims by third parties  
16 shall be deemed forever barred; and

17 4. Upon each Settling Party's full and complete performance of all  
18 settlement terms, including without limitation, payment in full of the Settlement  
19 Payment by each Settling Party, all such claims, demands, actions and causes of  
20 action by the Receiver against the Settling Party shall be deemed satisfied.

*Audrey B. Collins*

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23 Dated: July 14, 2008

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AUDREY B. COLLINS  
United States District Judge

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EXHIBIT 1

<b>Defendants</b>	<b>Amount Sued</b>	<b>Settlement Amount</b>	<b>Settlement Terms</b>	<b>Settlement Document</b>
L. Tan	\$1,120,200.00	\$200,000.00 and waiver of any future distribution payments to V. Tan, V. O. Tan, V. Tan and V. Tan	All cash	Yes – with mutual releases
M. Smith	\$188,875.36	\$12,388.40	All cash	Yes – with mutual releases
M. Keller	\$197,280.24	\$90,000.00	All cash	Yes – with mutual releases
J. Schoney, S. Schoney & Cedar Wood Financial	\$175,581.23	\$13,000.00	\$13,000 to be paid upon execution of agreement.	Yes – with mutual releases
H. Tanner, M. Tanner & M.R. Tanner Development	\$1,483,077.92 combined	\$435,000.00 combined	\$435,000.00 to be paid no later than June 15, 2008	Yes – with mutual releases
<b>TOTAL:</b>	<b>\$3,165,014.75</b>	<b>\$750,388.40</b>		