

ROBB EVANS & ASSOCIATES LLC

Receiver of

**WG Trading Investors, LP, WGIA, LLC, Westridge Capital Management, Inc.
Stephen Walsh, Paul Greenwood, Certain Relief Defendants, et al.**

**Commodity Futures Trading Commission v. Westridge Capital Management, et al.
CASE No. 09-CV-1749 (GBD)**

**Securities and Exchange Commission v. WG Trading Investors, L.P., et al.
CASE No. 09-CV-1750 (GBD)**

**Notice of Filing of Fifth Joint Application of the Receiver, Frandzel
Robins Bloom & Csato, L.C., McKenna Long & Aldridge, LLP,
Coon & Cole, LLC, and Anthony & Partners, LLC, for Allowance of
Compensation and Reimbursement of Expenses Incurred During the
Period January 1, 2011 Through June 30, 2011**

Filed November 22, 2011

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

COMMODITY FUTURES TRADING
COMMISSION,

Plaintiff,

-against-

STEPHEN WALSH, PAUL GREENWOOD,
WESTRIDGE CAPITAL MANAGEMENT, INC.,
WG TRADING INVESTORS, LP, WGIA, LLC,

Defendants,

WESTRIDGE CAPITAL MANAGEMENT
ENHANCEMENT FUNDS INC., WG TRADING
COMPANY LP, WGI LLC, K&L INVESTMENTS,
AND JANET WALSH,

Relief Defendants.

Civil Action No.: 09-CV-1749 (GBD)

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

WG TRADING INVESTORS, L.P., WG TRADING
COMPANY LIMITED PARTNERSHIP,
WESTRIDGE CAPITAL MANAGEMENT, INC.,
PAUL GREENWOOD, and STEPHEN WALSH,

Defendants,

ROBIN GREENWOOD and JANET WALSH,

Relief Defendants.

Civil Action No.: 09-CV-1750 (GBD)

**NOTICE OF FILING OF FIFTH JOINT APPLICATION OF THE RECEIVER,
FRANZEL ROBINS BLOOM & CSATO, L.C., McKENNA LONG & ALDRIDGE LLP,
LAW OFFICES OF MICHAEL J. MORAN, P.C., ANTHONY & PARTNERS, LLC, AND
KILPATRICK TOWNSEND & STOCKTON LLP, FOR ALLOWANCE OF**

**COMPENSATION AND REIMBURSEMENT OF EXPENSES INCURRED DURING
THE PERIOD JANUARY 1, 2011 THROUGH JUNE 30, 2011**

PLEASE TAKE NOTICE that Robb Evans & Associates LLC ("Receiver"¹) for the Westridge Entities² and the assets of Stephen Walsh ("Walsh"), Paul Greenwood ("Greenwood"), and Janet Walsh (J. Walsh"), and the counsel employed by the Receiver: Frandzel Robins Bloom & Csato, L.C. ("Frandzel"), McKenna Long & Aldridge LLP ("McKenna"), Law Offices of Michael J. Moran, P.C. ("Moran"), Anthony & Partners, LLC ("Anthony"), and Kilpatrick Townsend & Stockton LLP ("Kilpatrick") will and hereby do move the Court for an order approving their Fifth Joint Application for Allowance of Compensation and Reimbursement of Expenses Incurred During the Period of January 1, 2011 through June 30, 2011 ("Fifth Application"), and authorizing payment of (a) the fees and expenses of the Receiver, comprised of fees in the amount of \$175,141.20 and reimbursement of expenses in the amount of \$9,221,87; (b) fees in the amount of \$329,479.50 and reimbursement of expenses in the amount of \$15,914.06 incurred by Frandzel, (c) fees in the amount of \$286,102.15 and reimbursement of expenses in the amount of \$22,779.07 incurred by McKenna; (d) fees in the amount of \$882.00 incurred by Moran; (e) fees in the amount of \$767.25 and reimbursement of expenses in the amount of \$8,396.25 incurred by Anthony; and (f) fees in the amount of \$1,609.20 and reimbursement of expenses in the amount of \$350.00 incurred by Kilpatrick.³

¹ Reference to the Receiver in this Fifth Application means the Receiver, the Receiver's deputies, and its staff.

² For purposes of this Fifth Application, the "Westridge Entities" refers to Westridge Capital Management ("Westridge"), WG Trading Company LP ("WGTC"), WG Trading Investors, LP ("WGTI"), and business entities owned by or affiliated with them including Westridge Capital Management Enhancement Funds, Inc., K & L Investments, LLC, WGI LLC, WGTC Limited.

³ Although the Receiver has been appointed over the Westridge Entities in both case Nos. 09-CV-1749 ("CFTC Action") and 09-CV-1750 ("SEC Action"), the amount of fees sought by

PLEASE TAKE FURTHER NOTICE that the Fifth Application is made in accordance with the Court's Orders dated February 25, 2009, appointing the Receiver, which require the Receiver to submit periodic requests for payment of reasonable compensation and actual out-of-pocket expenses incurred by the Receiver and all personnel hired by the Receiver, including counsel to the Receiver, and is based upon this Notice, the Fifth Application, the accompanying memorandum of points and authorities and declarations of Brick Kane, Craig A. Welin, Gary Owen Caris, Michael J. Moran, John Anthony, and Keith Brandofino, upon the pleadings, records and files of this case of which the Receiver requests the Court take judicial notice, and upon all other further pleadings, oral and documentary evidence and argument of counsel as may be presented by the Receiver to the Court.

INTENTIONAL PAGE BREAK, DOCUMENT CONTINUES ON PAGE 4.

the Receiver, Frandzel, McKenna, Moran, Anthony and Kilpatrick in this Fifth Application represents the total amount of fees for services rendered in both cases during the Fifth Application Period.

PLEASE TAKE FURTHER NOTICE that a copy of the Fifth Application, exclusive of voluminous exhibits, is posted on the Receiver's website at:

<http://www.robbevans.com/html/wgtrading.html>

where it may be reviewed in its entirety. Further, copies of this Fifth Application will be provided to any interested party upon receipt of a written request which may be sent to: Robb Evans & Associates LLC, 11450 Sheldon Street, Sun Valley, CA 91352-1121; Telephone: (818) 768-8100; Facsimile: (818) 768-8802.

Dated: October 27, 2011.

FRANDZEL ROBINS BLOOM & CSATO, L.C.

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