

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

COMMODITY FUTURES TRADING  
COMMISSION,

Plaintiff,

-against-

STEPHEN WALSH, PAUL GREENWOOD,  
WESTRIDGE CAPITAL MANAGEMENT, INC.,  
WG TRADING INVESTORS, LP, WGIA, LLC,

Defendants,

WESTRIDGE CAPITAL MANAGEMENT  
ENHANCEMENT FUNDS INC., WG TRADING  
COMPANY LP, WGI LLC, K&L INVESTMENTS,  
AND JANET WALSH,

Relief Defendants.

Civil Action No.: 09-CV-1749 (GBD)

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

WG TRADING INVESTORS, L.P., WG TRADING  
COMPANY LIMITED PARTNERSHIP,  
WESTRIDGE CAPITAL MANAGEMENT, INC.,  
PAUL GREENWOOD, and STEPHEN WALSH

Defendants,

ROBIN GREENWOOD and JANET WALSH

Relief Defendants.

Civil Action No.: 09-CV-1750 (GBD)

**NOTICE TO PROPOSED  
INTERVENORS OF BRIEFING  
SCHEDULE**

**PLEASE TAKE NOTICE** that pursuant to the instructions of the Honorable George B. Daniels, U.S.D.J., given at a hearing held in the captioned matters on May 19, 2009, Temporary Receiver Robb Evans & Associates LLC (“Receiver”) hereby gives notice (the "Notice") that it is required to submit a report (the “Receiver’s Initial Claims Report”) to the Court with respect to

claims (the "Claims") by the proposed intervenors (collectively, the "Proposed Intervenors") that funds in which they claim an interest are not part of, or subject to, the pending receivership estate previously established by the Court and therefore, and should not be administered by the Receiver;

**PLEASE TAKE FURTHER NOTICE** that no later than June 15, 2009, plaintiff Commodity Futures Trading Commission ("CFTC") and plaintiff Securities and Exchange Commission ("SEC") are to submit to the Court any objections concerning the Claims;

**PLEASE TAKE FURTHER NOTICE** that the right to object to the Claims is strictly limited to the plaintiff governmental entities, the CFTC and the SEC;

**PLEASE TAKE FURTHER NOTICE** that Receiver is to submit the Receiver's Initial Claims Report to the Court no later than June 30, 2009;

**PLEASE TAKE FURTHER NOTICE** that in order to create the Receiver's Initial Claims Report, any or all of the Proposed Intervenors are required to submit any and all information that said Claimants seek to have considered in support of their Allegations so that such information is received **no later than June 1, 2009** by:

Brick Kane  
Val Miller, Esq.  
Robb Evans & Associates LLC  
11450 Sheldon Street  
Sun Valley, California 91352-1121

with a copy of any such information simultaneously sent to

Joseph Rosenberg, Esq.  
Peter M. Haas, Esq.  
JonMarc P. Buffa, Esq.  
Commodity Future Trading Commission  
140 Broadway  
New York, New York 10005

James Clarkson, Esq.  
Paul G. Gizzi, Esq.  
Thomas Peter Smith, Esq.  
Joseph Patrick Dever, Jr., Esq.  
Securities and Exchange Commission  
3 World Financial Center  
New York, New York 10281

**PLEASE TAKE FURTHER NOTICE** that any submission not received by June 1, 2009, will not be considered.

**PLEASE TAKE FURTHER NOTICE** that all pleadings and other documents filed in connection with this Notice shall be filed pursuant to the Court's ECF procedures.

**PLEASE TAKE FURTHER NOTICE** that the Receiver will include in the Receiver's Initial Claims Report a general outline of the claims verification procedure to be recommended to the Court.

Dated: May 22, 2009

**FRANZEL ROBINS BLOOM & CSATO, L.C.**

By: /s/ Thomas S. Arthur  
Thomas S. Arthur, Esq.  
Craig A. Welin, Esq.

6500 Wilshire Boulevard, 17th Floor  
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-and-

Christopher F. Graham, Esq.  
Alan F. Kaufman, Esq.  
**MCKENNA LONG & ALDRIDGE LLP**  
230 Park Avenue, Suite 1700  
New York, New York 10169  
Tel.: (212) 922-1800  
Fax: (212) 922-1819

*Co-Counsel for Temporary Receiver*  
*Robb Evans & Associates LLC*

**PROOF OF SERVICE**

I, the undersigned, declare and certify as follows:


I am over the age of eighteen years, not a party to the within action and employed in the County of Los Angeles State of California. I am employed in the office of Frandzel Robins Bloom & Csato, L.C., members of the Bar of the above-entitled Court, and I made the service referred to below at their direction. My business address is 6500 Wilshire Boulevard, Seventeenth Floor, Los Angeles, California 90048-4920

On May 22, 2009, I served true copy(ies) of the NOTICE TO PROPOSED INTERVENORS OF BRIEFING SCCHEDULE, the original(s) of which is(are) affixed hereto. to the party(ies) on the attached service list.

- BY MAIL:** I am “readily familiar” with the firm’s practice of collection and processing correspondence for mailing with the United States Postal Service. Under that practice, it would be deposited with the United States Postal Service that same day in the ordinary course of business. Such document(s) were placed in envelopes addressed to the person(s) served hereunder for collection and mailing with postage thereon fully prepaid at Los Angeles, California, on that same day following ordinary business practices.
- BY E-MAIL:** At approximately \_\_\_\_\_, I caused said document(s) to be transmitted by electronic mail. The name(s) and e-mail addresses of the person(s) served are set forth in the service list. The document was transmitted by electronic transmission and without error.
- BY CM/ECF NOTICE OF ELECTRONIC FILING:** I caused said document(s) to be served by means of this Court's electronic transmission of the Notice of Electronic Filing through the Court's transmission facilities, to the parties and/or counsel who are registered CM/ECF Users set forth in the service list obtained from this Court.
- BY EXPRESS MAIL:** I deposited such document(s) in a box or other facility regularly maintained by the United States Postal Service, in an envelope or package designated by the United States Postal Service with delivery fees paid or provided for, addressed to the person(s) served hereunder.

I certify under penalty of perjury under the laws of the State of California and the United States of America that the foregoing is true and correct.

Executed on May 22, 2009, at Los Angeles, California.

  
\_\_\_\_\_  
E. PANG

**SERVICE LIST**

COMMODITY FUTURES TRADING COMMISSION v. STEPHEN WALSH, ETC.  
USDC - SOUTHERN DISTRICT OF NEW YORK - CASE NO. 1:09-CV-01749 (GBD)

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