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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

FINBAR SECURITIES CORP., and  
ROBERT TRINGHAM

Defendants.

**Case No. CV 09-2325-ODW (VBKx)**

**ORDER APPROVING FINAL REPORT  
AND FINAL ACCOUNTING,  
DISCHARGING RECEIVER AND  
GRANTING RELATED RELIEF**

1 Robb Evans & Associates LLC, Permanent Receiver for Defendant Finbar  
2 Securities Corp. and its subsidiaries and affiliates (“Receiver”), brought its Motion  
3 for Order Approving Final Report and Final Accounting, For Discharge and Related  
4 Relief (“Motion”) for hearing on January 8, 2018 at 1:30 p.m. in Courtroom 5D of  
5 the above-entitled Court, the Honorable Otis D. Wright II, United States District  
6 Judge, presiding. Gary Owen Caris of Diamond McCarthy LLP appeared on behalf  
7 of the Receiver and other appearances, if any, were made as noted in the record. The  
8 Court, having read and considered the Motion, the Final Report set forth therein, the  
9 Declaration of Brick Kane and the Final Accounting attached thereto, having read  
10 and considered any opposition or responses to the Motion, and good cause being  
11 shown therefor, it is

12 ORDERED that:

13 1. The Motion and all relief sought therein is granted;

14 2. Without limiting the generality of the foregoing:

15 A. The Receiver’s Final Report, made a part of the Motion at Section I  
16 thereof, and the Final Accounting, attached as Exhibit 1 to the Declaration of Brick  
17 Kane filed in support of the Motion, are hereby approved;

18 B. The Receiver is authorized to wind up the receivership estate;

19 C. All actions and activities taken by or on behalf of the Receiver and all  
20 payments made by the Receiver in connection with the administration of the  
21 receivership estate are approved and confirmed;

22 D. The Receiver is authorized to destroy all records pertaining to the  
23 receivership estate in the Receiver’s possession or custody within 30 days after the  
24 Receiver serves written notice on the Securities and Exchange Commission (“SEC”)  
25 of the Receiver’s intention to destroy such records, unless the SEC requests  
26 possession and custody of such records or another governmental agency issues a  
27 subpoena for such records, in which case the Receiver is authorized to turn over such  
28 records to the SEC or to the governmental agency which issued the subpoena; and

1 E. Neither the Receiver nor any agent, employee, member, officer,  
2 independent contractor, attorney or representative of the Receiver shall have any  
3 liability to any person or entity for any action taken in connection with carrying out  
4 the Receiver's administration of the receivership estate, and the exercise of any  
5 powers, duties and responsibilities in connection therewith, and the Receiver, its  
6 agents, employees, members, officers, independent contractors, attorneys and  
7 representatives shall be (i) discharged; (ii) released from all claims and liabilities  
8 arising out of and/or pertaining to the receivership; and (iii) relieved of all duties and  
9 responsibilities pertaining to the receivership.

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12 Dated: January 5, 2018

  
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HONORABLE OTIS D. WRIGHT II,  
UNITED STATES DISTRICT JUDGE